

# OPHTHALMIC SQUEEZE DISPENSER

## Eliminating the Need for Additives in Multidose Preservative-Free Eyecare Formulations

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### INTRODUCTION

In 1892, Dr. Rudolf Rempel patented a clever system for food conservation that did not require any additives or chemicals, such as salt, acids, ethanol, or sugar, be added to food for this purpose. This method quickly became famous around the world when it was successfully commercialized by Johann Weck, as in the Weck jars with the typical rubber rings.<sup>1</sup> Chances are great that a simple and reliable system for eye drops will become as successful in the world of ophthalmology as the Weck jar was in the food arena.

The wider use of eye drops started in the 1960s with thiomersal-preserved drops out of small glass vials. Thiomersal is an organomercury compound with antiseptic and antifungal properties. It was very effective, but quickly caused a number of severe side effects attributed to this preservative, and multidose droppers were switched to benzalkonium chloride (BAC).<sup>2</sup> BAC is much better tolerated; however, recent scientific evidence makes it obsolete, in particular for treatment of chronic ocular diseases.<sup>3</sup> This generated a demand for cost-effective multidose eye drop delivery systems that allow preservative-free formulations for long-term treatments, such as chronic dry eye and glaucoma. There are a few systems on the market, which claim to work without any preservatives, but not all systems are as pure and innovative as the Weck jar. Therefore, the following will serve to review the current available systems. One of these is Aptar Pharma's Ophthalmic Squeeze Dispenser (OSD), a multidose dropper that relies solely on mechanical measures to prevent microbial contamination of the bottle content. It is widely available in Europe and around the world for OTC products, and was recently approved

for use with Allergan's Restasis MultiDose™ eye drops in the US. This system has also been approved by regulatory bodies worldwide for other prescription products, such as for the treatment of increased intraocular pressure.

### TYPES OF EYE DROP PACKAGING FOR PRESERVATIVE-FREE FORMULATIONS

As of today, the most widely-used technology for unpreserved eye drops are blow-fill-seal (BFS) single-dose droppers. BFS is the filling technology used for liquid products during which the polymer containers are manufactured, filled, and sealed in a single

FIGURE 1



cycle. This very simple packaging is a variation of the Rommelag bottlepack system and became established in the field of eye drops in the 1970s. It is used for ophthalmic medications and allows for single doses with a volume between approximately 0.3 ml and 1.0 ml. The process requires substantial overfill, which make the single dose much more expensive compared to multidose dispensers. Depending on the shape and size, such droppers are not always easy to handle for people with limited dexterity and/or visual impairment. A more recently raised concern is the considerable amount of plastic waste generated by this kind of single-use packaging.

In the early 1990s, AeroPump's Comod® multidose pump for eye drops emerged in Europe.<sup>4</sup> There is a similar nasal spray pump (3K®-System) on the market that applies the same measures to prevent microbial contamination. Although claimed to be "preservative-free," the liquid resting at the outlet orifice is protected from microbial contamination by a silver wire placed in the proximity of the outlet tip. The formulation is filled in a special container with a collapsing bag, which protects it from contact with ambient air. The actuation force of ~25-28 N for eye drops containing hyaluronic acid (tested on commercially available HyloComod® and HyloGel® drops) is quite high compared to standard 3-piece squeeze bottles, which are in the range of 7-10 N. The actuation maneuver is similar to nasal spray pumps and requires some movement directed toward the eyes. This mode of actuation is not appreciated by all patients.<sup>5</sup>

In 1989, Thea Laboratories introduced the first generation of its ABAK® system.<sup>6</sup> A preserved formulation was filtered through a microporous pad, which removed the preservative before the drop

**FIGURE 2**



**Preservative-Free Multidose Containers Based on Pump Systems**

reached the eye. The early systems also contained some silver mesh around the dosing orifice. The system was improved step-by-step, and the current version relies on the sterile filtration of the eye drops via a special microporous pad and a hydrophilic membrane. Thus, in the current version, the formulation must not contain any preservatives. The porous pad and the hydrophilic membrane cause substantial resistance in the product flow path, which results in actuation forces in the range of 17-20 N (tested with Hyabak® 0.15% hyaluronic acid from Thea Laboratories). In addition, the design of the pad and the membrane limit the use of this technology to formulations with low viscosity.

The first product using Aptar Pharma's Ophthalmic Squeeze Dispenser was introduced into the market in 2011 with TRB Chemedica's new VISMED® MULTI eye drops. This preservative-free system follows a purely mechanical approach. The key feature is the spring-loaded tip seal. This spring-loaded valve is located directly below the opening of the tip orifice and does not allow any microbes to migrate

from any surfaces or from contacted liquids into the system. The tip seal keeps the system closed until a defined pressure is reached by actuation, then the formulation is forced through the orifice. When the pressure drops at the end of the actuation, the tip seal will immediately close the orifice with an outward movement. No backflow of potentially contaminated medication or other liquid is possible. As opposed to alternative systems for unpreserved ophthalmic medications, the liquid is not filtered, nor does it get in contact with metal parts at any time. Only the venting air required to equilibrate the container after dispensing is sterile filtered using a small filter element, preventing microbial contamination via this route. The mode of actuation is a simple squeezing of the container, which is no different from millions of bottles used for preserved medications. The Ophthalmic Squeeze Dispenser has been well received by the market. As of today, more than 125 marketed products worldwide are equipped with OSD technology, both for prescription medications and consumer products, such as artificial

**FIGURE 3**



**Multidose Preservative-Free Squeeze Bottles**

tears. In 2016, the US FDA approved Allergan's Restasis MultiDose™ as the first prescription medication using a preservative-free multidose eye dropper.

In 2010, Rexam (now Nemera) introduced their Novelia® system. It uses a similar technology as the Ophthalmic Squeeze Dispenser but with some important differences: it features a silicone tube-based valve mechanism named PureFlow™ Tech-

nology, and the container is vented via air diffusion through a silicone membrane. To ensure microbial integrity, silver is added to the plastic material of the actuator, protection cap, and silicone valve for the design currently available. Consequently, the patient information leaflets of recently approved prescription products contain a warning "If you have a history of contact hypersensitivity to silver, you should not use this product."<sup>7</sup> In April 2017, Nemera announced the availability of a special vented cap for the Novelia® dropper to address the challenges of particularly sticky formulations. Current publicly available information does not make clear yet if the silver additives might become obsolete with the use of this new cap.

## ANTI-MICROBIAL ADDITIVES IN PACKAGING MATERIAL FOR OPHTHALMIC FORMULATIONS

Quite a few elements are available and used for their oligodynamic, or antimicrobial, properties in packaging materials,

but among these, silver is certainly the dominant additive. Silver is widely used for its antiseptic properties as it is effective and considered safe. In the healthcare industry, silver is used to sanitize water, as wound dressing, or to prevent biofilm formation on catheters. To exert its biocidal effects, silver ions must be released into the formulation or body fluid, so they can then interact with bacteria cell walls following accidental contamination. Therefore, when used in packaging material, it must be taken into consideration that the silver ions will be released and also react with the formulation. Before adding some silver master batches into packaging material, one should consider the consequences for the development of the container closure system. Such an additive should have no impact on the suitability, compatibility, or safety of the construction materials nor compromise the efficacy, stability, or quality of the drug product.

Silver is not listed in the USP chapter <232> Elemental Impurities, but is covered within the ICH Guidance for Industry Q3D on Elemental impurities published in 2015. The ICH guidance classifies silver as a Class 2B element, which means they have a reduced probability of occurrence in a drug product. Therefore, under normal conditions, silver can be excluded from the risk assessment unless it is intentionally added during the manufacturing of drug substances, excipients, or other drug product components. Thus, a risk assessment and mitigation strategy is required when silver is used as an antimicrobial in the container closure material. In addition, it must be assumed that the silver interacts with the drug product to exert its protective properties. As a consequence, it is imperative to "evaluate the presence of a particular elemental impurity in the drug product

**FIGURE 4**



**Restasis MultiDose™ with Aptar Pharma's Ophthalmic Squeeze Dispenser**

by determining the observed or predicted level of the impurity and comparing with the established PDE" (permitted daily exposure). Based on the recommended dosing regimen for the drug product (dose frequency and dose volume) the likely exposure can be calculated. For silver exposure via the oral route the PDE is 167 µg/day, for parenteral administration 14 µg/day, and for inhalation 7 µg/day, but no value is provided for topical administration (ICH Q3D Guideline). In general, if the calculated exposure values for an element are below the published limits, the container closure system may be used for this particular medication. On the other hand, it should be pointed out that this is not a one-size-fits-all approach, as there are many influencing factors, including formulation properties and dose regimen.

Recently, another additive called PyClear from Pylote SA in France emerged in the public domain. The key to this technology are mineral microspheres, which are incorporated into the packaging material and which are generally agreed to be responsible for the « Pylote effect ». An abstract was published showing antimicrobial efficacy of PyClear in some multidose eye droppers in a challenge study.<sup>8</sup> Unfortunately, the paper provided no clear explanation for the antimicrobial action mechanism nor for its potential interaction with the formulation. Also, data from real in-use studies and from after longer storage are missing. It is fair to assume that more scientific work is required before this technology finds its way into pharmaceutical product dispensing systems. Regulatory authorities, for good reason, are quite formal regarding data requirements and it is likely that a PDE for the "Pylote effect" needs to be established.

It is important to understand that when

incorporating an antimicrobial into a medical device or packaging material, data needs to be generated to justify its use and its efficacy. In July 2007, the US FDA released a draft guidance document titled Premarket Notification [510(k)] Submissions for Medical Devices that Include Antimicrobial Agents.<sup>9</sup> Even 10 years later, a final guidance document has not been issued, but this 2007 draft version provides some guidance on critical information that would be required for a submission:

- Detailed description of antimicrobial chemistry and any ancillary components, such as lubricious coatings used as the carrier for the antimicrobial additive
- Detailed description of the action mechanism, spectrum of activity of the antimicrobial agent, and minimum effective concentration
- Release kinetics and description of the release mechanism
- Detailed description of the distribution kinetics, toxicity, metabolites, and degradation products in the human body

As such, adding an antimicrobial into the packaging material will certainly increase the complexity of the development process. Evaluating potential leachable as well as degradation products resulting from a sterilization process and its interactions with the formulation may become cumbersome. This is one reason why Aptar Pharma recommends and follows the aforementioned purely mechanical approach for its Ophthalmic Squeeze Dispenser.

## CONTINUOUS IMPROVEMENT OF THE OPHTHALMIC SQUEEZE DISPENSER

Given the importance of vision to one's quality of life, it is difficult to establish a new packaging concept on the market intended for such a critical product as ophthalmic remedies, regardless of whether these are consumer products or prescription medications. The system must be safe and easy-to-use as no one should risk an eye. In addition, regulatory authorities and notified bodies need to be convinced that the new product is safe.

When developing the Ophthalmic Squeeze Dispenser, Aptar Pharma focused primarily on the microbial integrity and robustness of the new packaging system. Keeping this in mind, it is easier to understand that initial versions of the Ophthalmic Squeeze Dispenser required a squeeze force, or actuation force, that was comparably high, in the range of 30 N for a 10-ml bottle containing only 2 ml of liquid. This was due to the high tip seal opening pressure, considered necessary to ensure microbial integrity even under heavy microbial challenge conditions.

Based on feedback from the market, authorities and further research, the Ophthalmic Squeeze Dispenser, with its microbial barriers, was optimized without any compromise in terms of microbiological integrity and patient safety. In addition, the container, which is an integral part of the device, was improved. A limited offering back when the technology was originally launched in 2011, today a full range of containers is available that can be used for the Ophthalmic Squeeze Dispenser. These containers vary with respect to volume, geometry, and material (eg, polyethylene and COC) and as such offer various op-

## FIGURE 5



**Versatility of the Ophthalmic Squeeze Dispenser Technology Platform**

portunities for both developers and marketers. With the "next-generation" containers available today, the actuation force is notably reduced and is now in the range of 10-20 N without compromising microbial barrier functions.

Other additional features have been developed. For example, even though the membrane utilized to filter the incoming air is hydrophobic, some formulations tend to impair the ventilation properties of that membrane. To prevent this, a feature is available to permanently protect the filter from contact with the formulation. For liquids that tend to crystallize, a cap version with a special liner pad is available that reliably maintains the proper function of the Ophthalmic Squeeze Dispenser. The demand for additional protection prior to first use that are requested from certain regulatory bodies are addressed with a range of different options for the cap design.

Aptar Pharma recently partnered with Kali Care to combine the Ophthalmic Squeeze Dispenser with the world's first digital monitoring system for ophthalmic medications. This revolutionary technology will replace assumptions currently made in clinical trials by the collection of objective data, and will likely improve the poor adherence rates of only 43%-78% among pa-

tients receiving treatment for chronic eye conditions.<sup>10</sup>

The Ophthalmic Squeeze Dispenser is not a simple dropper but a versatile and flexible technology platform that can be adapted to a wide range of formulations. The process to identify the optimal configuration is well established. Lastly, its purely mechanical approach avoids the hassle associated with the extensive characterization of debatable additives. ♦

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## BIOGRAPHIES



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Following the study of veterinary medicine and the successful completion of his thesis at the University of Leipzig in 1992, he joined the pharmaceutical industry. There, he collected ample experiences in the drug development of anti-inflammatory and cardiovascular drugs. In 2008, he became Business Development Manager within Aptar Pharma.



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